

# Bounds for Coefficients of the $f(q)$ Mock Theta Function and Applications to Partition Ranks (Part 2)

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# Partitions of even/odd rank

We utilize our effective bound on  $\alpha(n)$  to resolve the following conjecture:

Conjecture (Hou and Jagadeesan [2], 2017)

*If  $r = 0$  (resp.  $r = 1$ ), then we have that*

$$N(r, 2; a)N(r, 2; b) > N(r, 2; a + b)$$

*for all  $a, b \geq 11$  (resp 12).*

Hou and Jagadeesan demonstrated a similar result for the modulo-three rank-counting functions  $N(r, 3; n)$  for  $r = 0, 1, 2$ , but their methods do not work modulo two.

## Theorem (Gomez-Zhu)

For  $n \geq 4$ ,

$$N(r, 2; n) = \frac{H(n)}{36l(n)^2} \left(1 - \frac{1}{l(n)}\right) + (-1)^r R_2(n)$$

where  $H(n) := \pi^2 \sqrt{3} e^{l(n)}$  and

$$|R_2(n)| \leq (8.17 \times 10^{30}) e^{l(n)/2}$$

We will make use of an effective bound on the partition function due to Lehmer:

## Theorem (Lehmer, 1938)

For all  $n \geq 1$ ,

$$p(n) = \frac{2\sqrt{3}}{24n-1} \left(1 - \frac{1}{l(n)}\right) e^{l(n)} + E_p(n)$$

where  $|E_p(n)| \leq (1313)e^{l(n)/2}$ .

# Sketch of Proof

We substitute the asymptotic formulas for  $p(n)$  and  $\alpha(n)$  into the relation

$$N(r, 2; n) = \frac{p(n) + (-1)^r \alpha(n)}{2}$$

and then bound the resulting error

$$R_2(n) := (-1)^{n-1} \frac{\pi}{\sqrt{6}l(n)} e^{l(n)/2} + \frac{1}{2}(E_p(n) + E(n)). \quad \square$$

# Bounding $N(r, 2; n)$

We will use the previous theorem to prove the following crucial inequalities:

## Lemma (Gomez-Zhu)

For  $r = 0$  (resp.  $r = 1$ ), we have that

$$\frac{H(n)}{36l(n)^2} \left(1 - \frac{1}{l(n)}\right)^2 < N(r, 2; n) < \frac{H(n)}{36l(n)^2} \left(1 - \frac{1}{l(n)^2}\right)$$

for all  $n \geq 16$  (resp. 15).

This lemma places  $N(r, 2; n)$  into a “nice” window, one which we manipulate to resolve the conjecture.

# Sketch of Proof

By our previous theorem,

$$\frac{H(n)}{36l(n)^2} \left(1 - \frac{1}{l(n)}\right) - |R_2(n)| < N(r, 2; n)$$

and

$$N(r, 2; n) < \frac{H(n)}{36l(n)^2} \left(1 - \frac{1}{l(n)}\right) + |R_2(n)|.$$

Thus, we can bound  $N(r, 2; n)$  for large enough  $n$

$$\frac{H(n)}{36l(n)^2} \left(1 - \frac{1}{l(n)}\right)^2 < N(r, 2; n) < \frac{H(n)}{36l(n)^2} \left(1 - \frac{1}{l(n)^2}\right)$$

so long as the coefficient of  $e^{l(n)}$  bounding  $|R_2(n)|$  is not too large.

# Sketch of Proof

How large is too large? Given that  $|R_2(n)| \leq (8.17 \times 10^{30})e^{l(n)}$ , we need  $n$  large enough to satisfy

$$8.17 \times 10^{30} < \frac{\pi^2 \sqrt{3}}{36l(n)^3} \left(1 - \frac{1}{l(n)}\right) e^{l(n)/2}.$$

Computation shows that  $n > 4647$  will do, but we require our bounds to hold for significantly smaller  $n$  to resolve the conjecture.

We thus analyze the remaining  $n < 4647$  using the Online Encyclopedia of Integer Sequences, which contains the values of  $p(n)$  and  $\alpha(n)$  for  $1 \leq n \leq 10^4$ , and find that  $N(r, 2; n)$  falls into our window for  $n \geq 15$  when  $r = 0$  (resp.  $n \geq 16$  when  $r = 1$ ).  $\square$



# Proving the Conjecture

We now prove the complete conjecture. Assume  $16 \leq a \leq b$  and let  $b = Ca$  where  $C \geq 1$ . We have just demonstrated that

$$N(r, 2; a)N(r, 2; Ca) > \frac{H(a)H(Ca)}{1296l(a)^2l(Ca)^2} \left(1 - \frac{1}{l(a)}\right)^2 \left(1 - \frac{1}{l(Ca)}\right)^2$$

and

$$N(r, 2; a + Ca) < \frac{H(a + Ca)}{36l(a + Ca)^2} \left(1 - \frac{1}{l(a + Ca)}\right).$$

Thus, we need only find  $a$  such that our lower bound for  $N(r, 2; a)N(r, 2; Ca)$  exceeds our upper bound for  $N(r, 2; a + Ca)$ .

# Proving the Conjecture

This is equivalent to finding  $a$  such that

$$e^{T_a(C)} > \frac{12\sqrt{3}l(a)^2l(Ca)^2}{\pi^2l(a+Ca)^2} S_a(C),$$

where

$$T_a(C) := l(a) + l(Ca) - l(a + Ca)$$

and

$$S_a(C) := \frac{\left(1 - \frac{1}{l(a+Ca)^2}\right)}{\left(1 - \frac{1}{l(a)}\right)^2 \left(1 - \frac{1}{l(Ca)}\right)^2}.$$

Or, taking logarithms of both sides,

$$T_a(C) > \log \left( \frac{12\sqrt{3}l(a)^2l(Ca)^2}{\pi^2l(a+Ca)^2} \right) + \log S_a(C).$$

# Proving the Conjecture

We first observe that, as functions of  $C$ ,  $T_a$  is strictly increasing and  $S_a$  is strictly decreasing, so we need only find  $a$  which satisfy our inequality for  $C = 1$

$$T_a(1) > \log \left( \frac{12\sqrt{3}I(a)^2I(Ca)^2}{\pi^2I(a+Ca)^2} \right) + \log S_a(1).$$

We then make use of the fact that  $I(Ca)^2/I(a+Ca)^2 \leq 1$  for all  $a$  since  $I(a+Ca) > I(Ca)$  to reduce our inequality to

$$T_a(1) > \log \left( \frac{12\sqrt{3}I(a)^2}{\pi^2} \right) + \log S_a(1).$$

# Proving the Conjecture

For which  $a$  is this final relation true? We calculate  $T_a(1)$  and  $S_a(1)$  and find that  $a \geq 16$  suffice, and thus the conjecture is proven for such  $a, b \geq 16$ .

The remaining cases of  $11 \leq a, b \leq 15$  (resp.  $12 \leq a, b \leq 15$ ) for  $r = 0$  (resp.  $r = 1$ ) are then checked manually by comparing  $N(r, 2; a)$ ,  $N(r, 2; b)$ , and  $N(r, 2; a + b)$ . □

# Further Speculation

With this result, we might ask if we can obtain similar convexity results for other moduli? That is, do we have, for  $t > 3$  and  $0 \leq r < t$ ,

$$N(r, t; a)N(r, t; b) > N(r, t; a + b)$$

for all  $a, b \geq C(t)$ , where  $C(t) > 0$  is an explicit constant depending only on the modulus  $t$ ?

If we were able to find finite algebraic formulas describing  $N(r, t; n)$  analogous to ours for larger  $t$ , this conjecture would be resolved as in the case of  $t = 2$ . However, no such formulas are yet known.

# Thank you

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