

# Algebraic properties of values of newform Dedekind sums


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# Section 1

- 
- 1 Preliminaries
  - 2 Defining Dedekind sums
  - 3 Hecke Operators
  - 4 Galois Action and Structure

# Dirichlet Characters

A Dirichlet character (modulo  $q$ )  $\chi : \mathbb{Z} \rightarrow \mathbb{C}^\times$  is a mapping with the following properties:

- $\chi(ab) = \chi(a)\chi(b)$ .
- $\chi(a) = \begin{cases} = 0 & \gcd(a, q) \neq 1 \\ \neq 0 & \gcd(a, q) = 1. \end{cases}$
- $\chi(a \pm q) = \chi(a)$ .

These properties imply that when  $(a, q) = 1$ ,  $\chi(a)$  is a  $\phi(q)^{th}$  roots of unity.

# $SL_2(\mathbb{Z})$ and some subgroups

Recall the following definitions:

## Definition

$$SL_2(\mathbb{Z}) = \{\gamma \in M_2(\mathbb{Z}) \mid \det(\gamma) = 1\}$$

$$\Gamma_0(N) = \{\gamma \in SL_2(\mathbb{Z}) \mid \gamma \equiv \begin{bmatrix} * & * \\ 0 & * \end{bmatrix} \pmod{N}\}$$

$$\Gamma_1(N) = \{\gamma \in SL_2(\mathbb{Z}) \mid \gamma \equiv \begin{bmatrix} 1 & * \\ 0 & 1 \end{bmatrix} \pmod{N}\}$$

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## Remark

$\Gamma(N) \subset \Gamma_1(N) \subset \Gamma_0(N) \subset SL_2(\mathbb{Z})$ .

$\Gamma(N)$  is the kernel of the reduction map  $SL_2(\mathbb{Z}) \rightarrow SL_2(\mathbb{Z}/N\mathbb{Z})$ .

# Modular Forms

A modular form  $f$  of weight  $k$  is a function on  $\mathbb{H}$  that has a symmetry with the group action of  $SL_2(\mathbb{Z})$  on  $\mathbb{H}$  by linear fractional transformations. Specifically:

- $f\left(\begin{bmatrix} a & b \\ c & d \end{bmatrix} z\right) = f\left(\frac{az+b}{cz+d}\right) = (cz+d)^k f(z)$  for  $\begin{bmatrix} a & b \\ c & d \end{bmatrix} \in SL_2(\mathbb{Z})$ .
- $f$  is holomorphic/complex analytic.
- $f(z)$  is bounded as  $Im(z) \rightarrow \infty$ .

We can extend this concept to what are called automorphic forms by relaxing the holomorphicity requirement and including an automorphy factor  $\epsilon$  in the symmetry:

$$f\left(\begin{bmatrix} a & b \\ c & d \end{bmatrix} z\right) = f\left(\frac{az+b}{cz+d}\right) = \epsilon(a, b, c, d)(cz+d)^k f(z).$$

# Section 2

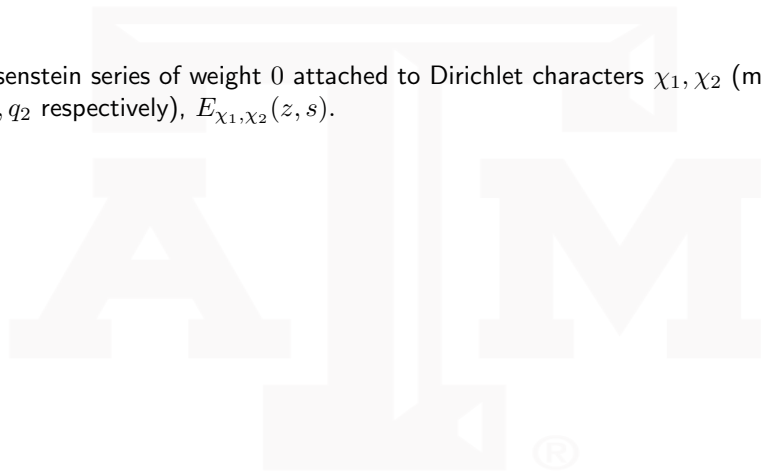
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# Eisenstein Series



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- Automorphic form on the congruence subgroup  $\Gamma_0(q_1 q_2)$  with central character  $\psi = \chi_1 \bar{\chi}_2$ . This means  $E_{\chi_1, \chi_2}(\gamma z, s) = \psi(\gamma) E_{\chi_1, \chi_2}(z, s)$  for  $\gamma \in \Gamma_0(q_1 q_2)$ .  
 (Note  $\psi\left(\begin{bmatrix} a & b \\ c & d \end{bmatrix}\right) = \psi(d)$ ).

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 (Note  $\psi\left(\begin{bmatrix} a & b \\ c & d \end{bmatrix}\right) = \psi(d)$ ).
- Eigenfunction of all Hecke operators  $T_n$  with eigen value  $\lambda_{\chi_1, \chi_2}(n, s)$ .
- $E_{\chi_1, \chi_2}^*(z, s)$  (the completed Eisenstein series) at  $s = 1$  decomposes into holomorphic and anti-holomorphic parts  $f_{\chi_1, \chi_2}(z) + \chi_2(-1) \bar{f}_{\bar{\chi}_1, \bar{\chi}_2}(z)$ .

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$$f_{\chi_1, \chi_2}(z) = \sum_{n=1}^{\infty} \frac{\lambda_{\chi_1, \chi_2}(n, 1)}{\sqrt{n}} \exp(2\pi i n z).$$

where

$$\lambda_{\chi_1, \chi_2}(n, s) = \chi_2(\text{sgn}(n)) \sum_{ad=|n|} \chi_1(a) \overline{\chi_2}(b) \left(\frac{b}{a}\right)^{s-\frac{1}{2}}.$$

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- The first definition of the newform Dedekind sum is as follows:

## Definition

For primitive  $\chi_1, \chi_2 \pmod{q_1, q_2}$  where  $\chi_1\chi_2(-1) = 1$ , and  $\gamma \in \Gamma_0(q_1q_2)$

$$S_{\chi_1\chi_2}(\gamma) = \frac{\tau(\overline{\chi_1})}{\pi i} (f_{\chi_1, \chi_2}(\gamma z) - \psi(\gamma) f_{\chi_1, \chi_2}(z))$$

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$$S_{\chi_1 \chi_2}(\gamma) = S_{\chi_1 \chi_2}(a, c) = \sum_{j \bmod c} \sum_{n \bmod q_1} \overline{\chi_2}(j) \overline{\chi_1}(n) B_1\left(\frac{j}{c}\right) B_1\left(\frac{n}{q_1} + \frac{aj}{c}\right)$$

where

$$B_1(x) = \begin{cases} 0, & \text{if } x \in \mathbb{Z} \\ x - [x] - \frac{1}{2} & \text{otherwise.} \end{cases}$$



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- $S_{\chi_1\chi_2}(\gamma_1\gamma_2) = S_{\chi_1\chi_2}(\gamma_1) + \psi(\gamma_1)S_{\chi_1\chi_2}(\gamma_2)$ .

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- $S_{\chi_1\chi_2}$  is never trivial.
- $S_{\chi_1\chi_2}(\gamma_1\gamma_2) = S_{\chi_1\chi_2}(\gamma_1) + \psi(\gamma_1)S_{\chi_1\chi_2}(\gamma_2)$ .
- $S_{\chi_1\chi_2}$  is a homomorphism when  $\psi = \mathbf{1}$ , while  $S_{\chi_1\chi_2}|_{\Gamma_1(q_1q_2)}$  is always a homomorphism.

# Section 3

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# The Hecke operator $T_n$

## Definition

The weight 0 Hecke operator on automorphic forms with central character  $\psi$  is

$$T_n = \frac{1}{\sqrt{n}} \sum_{ad=n} \psi(n) \sum_{b \pmod{d}} \begin{bmatrix} a & b \\ 0 & d \end{bmatrix}.$$

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As noted,  $E_{\chi_1, \chi_2}(z, s)$  is an eigen function for this family of commuting linear operators with eigenvalue  $\lambda_{\chi_1, \chi_2}(n, s)$ . When we specialize to  $s = 1$  we deduce that  $f_{\chi_1, \chi_2}(z)$  is as well:

$$\begin{aligned} T_n f_{\chi_1, \chi_2}(z) &= \frac{1}{\sqrt{n}} \sum_{ad=n} \psi(n) \sum_{b \pmod{d}} f_{\chi_1, \chi_2}\left(\frac{az + b}{d}\right) \\ &= \lambda_{\chi_1, \chi_2}(n, 1) f_{\chi_1, \chi_2}(z). \end{aligned}$$

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This gives an easy definition of  $T_n S_{\chi_1 \chi_2}$ :

$$\begin{aligned} T_n S_{\chi_1 \chi_2}(\gamma) &= \frac{\tau(\overline{\chi_1})}{\pi i} (T_n f_{\chi_1, \chi_2}(\gamma z) - \psi(\gamma) T_n f_{\chi_1, \chi_2}(z)) \\ &= \lambda_{\chi_1, \chi_2}(n, 1) S_{\chi_1 \chi_2}(\gamma). \end{aligned}$$

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We can then use the fourier expansion of  $f_{\chi_1, \chi_2}(z)$  and the  $z$  independence of  $S_{\chi_1 \chi_2}(\gamma)$  to get the following identity:

**Theorem**

For  $h, k, n \in \mathbb{Z}$ ,  $q_1 q_2 | k$ , and  $n, k > 0$

$$\frac{1}{\sqrt{n}} \sum_{ad=n} \chi_1 \overline{\chi_2}(a) \sum_{b \pmod{d}} S_{\chi_1 \chi_2}(ah + bk, dk) = \lambda_{\chi_1, \chi_2}(n, 1) S_{\chi_1 \chi_2}(h, k).$$

# Takeaways

This is a generalization of the classical case due to M. Knopp:

## Theorem

For  $h, k, n \in \mathbb{Z}$ ,  $k, n > 0$

$$\sum_{ad=n} \sum_{b \pmod{d}} s(ah + bk, dk) = \sigma(n)s(h, k), \quad \sigma(n) = \sum_{d|n} d.$$

More importantly, the fact that the Dedekind sums are eigenvectors of a family of commuting linear operators means they are linearly independent.

# Section 4

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# Definition of Galois action

## Definition

Let  $\sigma \in \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ . Then,

$$\begin{aligned}
 \sigma S_{\chi_1 \chi_2}(\gamma) &= \sigma \left( \sum_{j \bmod c} \sum_{n \bmod q_1} \overline{\chi_2}(j) \overline{\chi_1}(n) B_1\left(\frac{j}{c}\right) B_1\left(\frac{n}{q_1} + \frac{aj}{c}\right) \right) \\
 &= \sum_{j \bmod c} \sum_{n \bmod q_1} \overline{\chi_2^\sigma}(j) \overline{\chi_1^\sigma}(n) B_1\left(\frac{j}{c}\right) B_1\left(\frac{n}{q_1} + \frac{aj}{c}\right) \\
 &= S_{\chi_1^\sigma, \chi_2^\sigma}(\gamma)
 \end{aligned}$$

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The backward direction of this theorem comes directly from the finite sum definition. For the other, we choose any  $\sigma \in \text{Gal}(\overline{\mathbb{Q}}/F)$  and must have

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## Example

$S_{\chi_1\chi_2}$  takes rational values if and only if  $\chi_1$  and  $\chi_2$  are rational characters.

# Structure of $\Gamma_1(q_1q_2)/K_{\chi_1,\chi_2}^1$

We use the following definitions:

- $K_{\chi_1,\chi_2} = \{\gamma \in \Gamma_0(q_1q_2) \mid S_{\chi_1\chi_2}(\gamma) = 0\}$
- $K_{\chi_1,\chi_2}^1 = \Gamma_1(q_1q_2) \cap K_{\chi_1,\chi_2}$
- $F$  is the smallest number field over  $\mathbb{Q}$  in which  $S_{\chi_1\chi_2}$  takes values.

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We will investigate  $\Gamma_1(q_1q_2)/K_{\chi_1,\chi_2}^1 \cong S_{\chi_1\chi_2}(\Gamma_1(q_1q_2))$  because  $S_{\chi_1\chi_2}|_{\Gamma_1(q_1q_2)}$  is a homomorphism, and we will show its rank is equal to the degree of  $F$  over  $\mathbb{Q}$ . These arguments are carried over without changes to  $\Gamma_0(q_1q_2)/K_{\chi_1,\chi_2}$  in the case of  $\chi_1\bar{\chi}_2 = 1$ .

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- Since  $\Gamma_1(q_1q_2)$  is of finite index in  $SL_2(\mathbb{Z}) = \langle \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \rangle$ , it must also have a set of finite generators  $\{\gamma_j\}_{j=1}^r$ . Then  $\{S_{\chi_1\chi_2}(\gamma_j)\}_{j=1}^r$  generates  $S_{\chi_1\chi_2}(\Gamma_1(q_1q_2))$ .

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- We also know  $S_{\chi_1\chi_2}(\Gamma_1(q_1q_2)) \subset (\mathbb{C}, +)$  implies  $S_{\chi_1\chi_2}(\Gamma_1(q_1q_2))$  is torsion free.

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- We also know  $S_{\chi_1\chi_2}(\Gamma_1(q_1q_2)) \subset (\mathbb{C}, +)$  implies  $S_{\chi_1\chi_2}(\Gamma_1(q_1q_2))$  is torsion free.
- Combining these two facts shows  $S_{\chi_1\chi_2}(\Gamma_1(q_1q_2))$  is a free abelian group by the structure theorem of abelian groups.



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Proof sketch:

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- Then  $S_{\chi_1\chi_2}(\Gamma_1(q_1q_2)) \subset \frac{1}{d}\mathcal{O}_F$
- $\mathcal{O}_F$  (and its fractional ideals) are free abelian groups of rank  $[F : \mathbb{Q}]$ .

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- Suppose  $S_{\chi_1\chi_2}(\Gamma_1(q_1q_2)) = \bigoplus_{i=1}^d \alpha_i \mathbb{Z}$  for  $\alpha_i \in F$ ,  $d < [F : \mathbb{Q}] = n$ .

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- Then consider the  $n$  distinct Dedekind sums  $S_{\chi_1^{\sigma_j} \chi_2^{\sigma_j}}$  for  $\sigma_j \in \text{Gal}(F/\mathbb{Q})$ .

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The rank of  $S_{\chi_1 \chi_2}(\Gamma_1(q_1 q_2))$  is bounded below by the degree of  $F/\mathbb{Q}$


Proof sketch:


- First, nontriviality of  $S_{\chi_1 \chi_2}$  means the rank is at least one.
- Suppose  $S_{\chi_1 \chi_2}(\Gamma_1(q_1 q_2)) = \bigoplus_{i=1}^d \alpha_i \mathbb{Z}$  for  $\alpha_i \in F$ ,  $d < [F : \mathbb{Q}] = n$ .
- Then consider the  $n$  distinct Dedekind sums  $S_{\chi_1^{\sigma_j} \chi_2^{\sigma_j}}$  for  $\sigma_j \in \text{Gal}(F/\mathbb{Q})$ .
- Then we can construct a  $d \times n$  matrix  $(\alpha_i^{\sigma_j})_{ij}$ , that, by its dimension, has nontrivial kernel, contradicting the linear independence of the Dedekind sums.


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